

DISCLOSURE STATEMENT
FOR NON TJU/NONTJUH EMPLOYEES CONDUCTING
RESEARCH

In accordance with the University's Conflicts of Interest Policy, available at http://www.jefferson.edu/universitycounsel/compliance_conflict.htm, an individual conducting research under the aegis of the University is required to complete a Disclosure Statement for Non TJU/TJUH Employees Conducting Research for each study or protocol on which his/her name appears and forward it to the attention of the University's Conflicts of Interest Officer. If you have any questions with regard to this form, please call Janyce Lingo in the Office of University Counsel at 215-503-0758. Please complete the following Disclosure and forward the form to: Office of University Counsel, Conflicts of Interest Officer, 1020 Walnut St, Scott Building 6th Floor, Philadelphia, PA 19107. Thank you.

Name: _____

Title: _____

Institution: _____

Address: _____

Phone: _____ Email: _____

Project Title: _____

My Role on this Study is: _____ Principal Investigator _____ Co-investigator
_____ Key Personnel _____ Other

This study is _____ Sponsored _____ Non-Sponsored.

If sponsored, what is the name of the sponsor?

Do you or your immediate family have any of the following?

(Refer to the following definitions and check all that apply)

“Immediate Family” means spouse, dependent children, and all other persons living in the same household, and any associated entity.

“Associated Entity” means any trust, organization or enterprise other than the University over which the covered individual, alone or together with his / her immediate family, exercises a controlling interest.

“Financial Interest Related to the Research” means financial interest in the sponsor, product or service being tested, or competitor of the sponsor or product or service being tested. Disclosure for NONTJU/TJUH EMPLOYEES Page 2 of 2

- Ownership interest, stock options, or other financial interest related to the research unless it meets four tests:
 - Less than \$5,000 when aggregated for the immediate family.
 - Publicly traded on a stock exchange.
 - Value will not be affected by the outcome of the research.
 - Less than 5% interest in any one single entity when aggregated for the immediate family.
- Compensation related to the research unless it meets two tests:
 - Less than \$5,000 in the past year when aggregated for the immediate family.
 - Amount will not be affected by the outcome of the research.
- Proprietary interest related to the research including, but not limited to, a patent, trademark, copyright or licensing agreement.
- Board or executive relationship related to the research, regardless of compensation.
- Consulting fees; honoraria; gifts or other financial compensation; or "in kind" compensation from a financially interested company for any purpose not directly related to the reasonable cost of conducting the research (as specified in the research agreement) or engaging in the activity; that when aggregated for the covered individual and his/her immediate family in the prior calendar year exceeded, or in the next calendar year are expected to exceed, \$5,000.00.

- Royalties, or inventor's share of royalty income pursuant to the University's Patent Policy, or the right to receive future royalties or such inventor's share under a patent license or copyright agreement or any other type of agreement, where the research or activity is directly related to the licensed technology or work.
- Any non-royalty payments (or entitlements to payments) in connection with the research or activity that are not directly related to the reasonable costs of the research (as specified in the research agreement between the sponsor or company providing research funding and the University) or activity. This includes any bonus or milestone payments to investigators in excess of reasonable costs incurred, whether such payments are received from a financially interested company or from the University.

If you checked any of the above, describe the financial interest below and any steps planned to prevent the financial interest from interfering with the design, conduct, or reporting of the research, including interfering with the protection of participants. Attach separate sheet if needed.

I certify that the above information is true to the best of my knowledge. I supply this information for confidential review by the University. I do not authorize the release of this information for any other use.

Signature: _____ **Date:** _____